



Member FINRA and SIPC

Schedule of Fees

Effective 1/1/2008

ACH Returned	\$20
Accommodation and Legal Transfers	\$25
Account Transfers	\$60
Direct Registration System Transfers	\$10
Inactive Account ¹	\$50
Overnight Check Delivery	\$12
Physical Safekeeping of Securities – per account, per position, per month	\$2
Returned Check	\$20
Retail Confirmation	\$7.50
Trade Extensions	\$10
Voluntary Reorganizations	\$10
Wired Funds	\$20

ProCash Account Fees

Annual Fee

Silver Accounts ²	Waived
Gold Accounts	\$75
Gold Corporate Accounts	\$150
Platinum Accounts	\$125
Platinum Corporate Accounts	\$195
Business Check Initial Orders	\$50
Business Check Re-Orders	\$40
Check Copies – per copy	\$2.50
Overnight Mailing	\$20
Non-Business Check Reorders	\$10
Returned Check	\$20
Stop Payment	\$10

Retirement Account Fees

Annual Custodial

Traditional, ROTH, Coverdell and Model 5305 SEP IRA Accounts	\$35
SARSEP, SIMPLE IRA, Qualified Retirement Plan Accounts	\$50
Account Termination	\$75
ROTH Conversion	\$25

Please see important disclosures on the next page

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Important Disclosures

Additional fees may apply depending on the type of services offered. Please contact your Investment Representative for further information.

¹Inactive accounts are defined as accounts holding a security position for a calendar year (January 1 – December 31) without generating a trade, or free credit or margin interest of at least \$100. Mutual Fund systematic reinvestment and exchanges will be considered a trade. Dividend reinvestment activity is not considered a trade. Inactive account fees do not apply to ProCash Plus Accounts, Pershing LLC retirement accounts or retirement accounts where Pershing acts as servicing agent and collects service fees.

²ProCash Plus Silver accounts require a fee for asset balances below \$5,000 per month; should the asset balance fall below this minimum asset balance as of the second-to-last business day of the month, a \$2.00 fee will be applied on the last business day of the month.